Liquid Capital Statement for the month of 31-December-2023 M/s. FDM Capital Securities (Pvt.)Ltd

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	24,407,230	24,407,230	
1.2	Intangible Assets	3,500,000	3,500,000	
1.3	Investment in Govt. Securities			
1.4	(Difference between book value and sale value on the date on the basis of PKRV published by NIFT) Investment in Debt. Securities			
1.4	Investment in Debt. Securities If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities	4.40 550 000		44.5.004.000
	 If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. 	140,573,933	25,279,124	115,294,809
1.5	Provided that if any of these securities are pledged with the securities exchange for base minimum capital requirenment, 100%	27 207 726	37,207,726	
1.5	haircut on the value of eligible securities to the extent of minimum required value of Base minimum capital.	37,207,726	37,207,720	
	ii. If unlisted, 100% of carrying value.	1,473,014	1,473,014	
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	 If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. 			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or			
	central depository or any other entity.	4 000 000	4 000 000	
	(i) 100% of net value, however any excess amount of cash deposited with securities exchange to comply with requirenments of base minimum capital may be taken in the calculation of LC	4,000,000	4,000,000	
1.9	Margin deposits with exchange and clearing house.	107,027,515	0	107,027,515
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	107,027,313	· ·	107,027,313
1.11	Other deposits and prepayments			
1.11	Accrued interest, profit or mark- up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.12	Accrued interest, profit of mark- up on amounts praced with financial institutions of deof securities etc.(1811)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the			
	investments.)			
1.15	Advances and receivables other than trade Receiveables;			
	(i) No haircut may be applied on the short term loan to employees provided these loans are secured and due for repayments within 12	1,458,452	1,458,452	
	months.			
	(ii) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation.	8,900,866	8,900,866	
	(iii) In all other cases 100% of net value			
1.16	Receivables from clearing house or securities exchange(s)			
	100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains (Lower of net balance sheet value or value determined through adjustments)	19,149,234		19,149,234
1.17	Receivables from customers			
1.17	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying			
	VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after			
	applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into			
1	contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	97,873,589	C	97,873,589
	iv. Balance sheet value	71,613,367	C	71,613,367
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for	37,419,402	36,215,810	36,215,810
	customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer	-7,12,702	20,210,010	25,215,310
	and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner;			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts.			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts whichever is higher.			
	vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts.			

Liquid Capital Statement for the month of 31-December-2023 M/s. FDM Capital Securities (Pvt.)Ltd

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Liquid Capital Statement for the month of 31-December-2023 M/s. FDM Capital Securities (Pvt.)Ltd

3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security			
	.If the market of a security exceeds 51% of the proprietary position,then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	 In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts 	0	64,360,538	64,360,538
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	0	0	0
3.10	Short selll positions			
	 Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircust less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircust 			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0		64,360,538
3.12	Liquid Capital	379,870,952		208,080,410